



3628

PTO/SB/21 (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

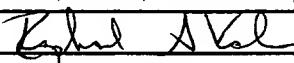
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## TRANSMITTAL FORM

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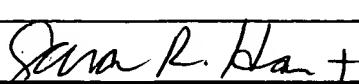
Total Number of Pages in This Submission

Application Number	09/665,237
Filing Date	09/18/2000
First Named Inventor	Marvin T. Ling
Art Unit	3628
Examiner Name	Pedro R. Kanof
Attorney Docket Number	GTGX-001 CIP1 31188-1030

ENCLOSURES <i>(Check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): 3 Cited References and Return Receipt Postcard.
<input type="checkbox"/> Remarks _____		
<b>RECEIVED</b> DEC 22 2003 <b>GROUP 3600</b>		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Raphael A. Valencia, Esq. (Reg. No. 43,216) Luce, Forward, Hamilton & Scripps LLP	
Signature		
Date	December 12, 2003	

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Sara R. Hart		
Signature		Date	December 12, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



GTX-001 CIP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling  
Serial No. : 09/665,237  
Filed : September 18, 2000  
For : METHOD AND APPARATUS FOR CONDUCTING  
ELECTRONIC COMMERCE TRANSACTIONS USING  
ELECTRONIC TOKENS  
Group Art Unit : 3628  
Examiner : Pedro R. Kanof

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date, but before the mailing date of the first Office Action on the merits.

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

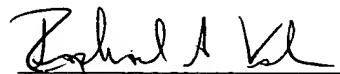
Date of Deposit: December 12, 2003

Signature:

  
Sara R. Hart

The Commissioner is hereby authorized to charge payment of any fees required in connection with the accompanying Information Disclosure Statement to Deposit Account No. 50-2298 in the name of Luce, Forward, Hamilton & Scripps LLP. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Raphael A. Valencia  
Reg. No. 43,216  
Attorney for Applicants

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Atty. Docket No. GTX-001 CIP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling  
Serial No. : 09/665,237  
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COMMERCE TRANSACTIONS USING ELECTRONIC TOKENS  
Group Art Unit : 3628  
Examiner : Pedro R. Kanof

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby makes the documents identified on the enclosed form PTO/SB/08 (submitted in duplicate) of record in the above-identified application. A copy of the documents identified on the enclosed form PTO/SB/08 is submitted herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Raphael A. Valencia, Reg. No. 43,216  
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Phone: 858-720-6300; Fax: 858-523-4326



DEC 18 2003

**Substitute PTO/SB-08**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number	09/665,237
Filing Date	09/18/2000
First Named Inventor	Marvin T.
Art Unit	3628
Examiner Name	Pedro R. K
Atty. Docket Number	GTX-001 C 31188-103

## U.S. PATENT DOCUMENTS

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DEC 23 2003  
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## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.